



09-16-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Humpert, et al. GROUP ART UNIT: 3679

SERIAL NO.: 10/643,126 (Continuation of 09/418,875) EXAMINER: Ferguson

FILED: August 18, 2003

FOR: Method of Attaching a Functional Element; Die; Functional Element; Component Assembly

DOCKET NO.: 60,152-1014

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are not being provided.

Applicant notes the regulation set forth under 37 CFR 1.98(d) whereby a copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120.

Applicant wishes to note that the prior previous copending application in which the Information Disclosure Statement was filed is SN: **09/418,875** which was filed on **October 15, 1999**. An Information Disclosure Statement was filed in this noted application on **November 27, 2002**, whereby copies of the following patents were then provided to the United States Patent and Trademark Office.

As stated in the earlier Information Disclosure Statement none of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. **A duplicate of this paper is enclosed.**

**Respectfully submitted,**

**HOWARD & HOWARD ATTORNEYS, P.C.**

September 15, 2003

Date

  
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Enclosures:  
PTO-1449

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the enclosed **Information Disclosure Statement** is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee," Mailing Label No. **EV320906239US** and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on **September 15, 2003**.

  
Tracy L. Smith



### Notice of References Cited

Application/Control No.  
09/418,875

Applicant(s)/Patent Under  
Reexamination  
HUMPERT ET AL.

Examiner  
Michael P. Ferguson

Art Unit  
3679

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#### U.S. PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-6,146,072	11-2000	Müller	411/176
B	US-6,418,609	07-2002	Wojciechowski et al.	29/432.1
C	US-5,407,311	04-1995	Gross	411/171
D	US-6,108,893	08-2000	Wojciechowski et al.	29/505
E	US-			
F	US-			
G	US-			
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

#### FOREIGN PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
O					
P					
Q					
R					
S					
T					

#### NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)		
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Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

FORM PTO-1449 U.S. Department of Commerce  (Rev. 2-83) Patent and Trademark Office		ATTY. DOCKET NO.	SERIAL NO.
 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		60,152-843	09/418,875
<b>APPLICANT</b> Humpert			
<b>FILING DATE</b> 10/15/99		<b>GROUP</b> 3679	

## U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)**


**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



### Notice of References Cited

Application/Control No.

09/418,875

Applicant(s)/Patent Under

Reexamination

HUMPERT ET AL.

Examiner

Michael P. Ferguson

Art Unit

3679

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#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-1,827,884	10-1931	Ellison	29/509
	B	US-4,900,210	02-1990	Buchanan et al.	411/508
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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	S					
	T					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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